

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Paolo PEVARELLO, et al.

SERIAL NO: 10/049,288

GAU:

FILED: May 9, 2002

EXAMINER:

FOR: 3(5)-UREIDO-PYRAZOLE DERIVATIVES, PROCESS FOR THEIR PREPARATION AND THEIR USE  
ANTITUMOR AGENTS

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

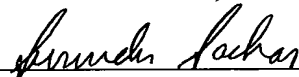
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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|  |    |  |          |   |  |  |                               |
|--|----|--|----------|---|--|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br><b>218195US0X PCT</b>    |  | SERIAL NO.<br><b>10/049,288</b>                                  |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |          | APPLICANT<br><b>Paolo PEVARELLO, et al.</b> |  |  |                               |
|  |    |  |          | FILING DATE<br><b>May 9, 2002</b>           |  | GROUP  |                               |
|  |    |  |          |   |  |  |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |          |   |  |  |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE     | NAME  | CLASS                                      | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AA | 6 043 246  | 03/28/00 | Takehiro FUKAMI et al.                      |  |  |                               |
|  | AB |  |          |   |  |  |                               |
|  | AC |  |          |   |  |  |                               |
|  | AD |  |          |   |  |  |                               |
|  | AE |  |          |   |  |  |                               |
|  | AF |  |          |   |  |  |                               |
|  | AG |  |          |   |  |  |                               |
|  | AH |  |          |   |  |  |                               |
|  | AI |  |          |   |  |  |                               |
|  | AJ |  |          |   |  |  |                               |
|  | AK |  |          |   |  |  |                               |
|  | AL |  |          |   |  |  |                               |
|  | AM |  |          |   |  |  |                               |
|  | AN |  |          |   |  |  |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |          |   |  |  |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                                     | TRANSLATION<br>YES                      NO |  |                               |
|  | AO |  |          |   |  |  |                               |
|  | AP |  |          |   |  |  |                               |
|  | AQ |  |          |   |  |  |                               |
|  | AR |  |          |   |  |  |                               |
|  | AS |  |          |   |  |  |                               |
|  | AT |  |          |   |  |  |                               |
|  | AU |  |          |   |  |  |                               |
|  | AV |  |          |   |  |  |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |  |          |   |  |  |                               |
|  | AW |  |          |   |  |  |                               |
|  | AX |  |          |   |  |  |                               |
|  | AY |  |          |   |  |  |                               |
|  | AZ |  |          |   |  | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner   |    |  |          |   | Date Considered                            |  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |          |   |  |  |                               |

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/17878

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61K 31/415, 31/496; C07D 231/40, 403/12

US CL : 514/252, 404; 540/575; 544/364, 371; 548/371.7; 546/211, 275.4

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/252, 404; 540/575; 544/364, 371; 548/371.7; 546/211, 275.4

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| X, P      | US 6,043,246 A (FUKAMI et al) 28 March 2000 (28.03.00), see the entire document.   | 1-23                  |

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

|   |  |
|---|--|
| * Special categories of cited documents:  | *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  |
| *A* document defining the general state of the art which is not considered to be of particular relevance  | *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone   |
| *B* earlier document published on or after the international filing date  | *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | *G* document member of the same patent family  |
| *O* document referring to an oral disclosure, use, exhibition or other means  |  |
| *P* document published prior to the international filing date but later than the priority date claimed  |  |

Date of the actual completion of the international search

19 OCTOBER 2000

Date of mailing of the international search report

20 NOV 2000

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